

OYSTERROCK CAPITAL

(SEBI REGN NO:IN/AIF3/17-18/0372)

ANNUAL STATUS OF COMPLIANCE UNDER STEWARDSHIP CODE PURSUANT TO SEBI CIRCULAR CIR/CFD/CMD1/168/2019 DATED 24TH DECEMBER 2019 FOR THE PERIOD JULY 1, 2022 TO JUNE 30, 2023

Sr. No.	Particulars of Principles of Stewardship Code	Status (Deviation, Partly Complied, Not Complied)	Reason for Deviation
1	Entity should formulate the policy on the discharge of their stewardship responsibilities and publicly disclose it	Complied	NA
2	Entity should have a clear policy on how they manage conflicts of interest in fulfilling their stewardship responsibilities and publicly disclose it.	Complied	NA
3	Entity should monitor their investee companies.	Complied	NA
4	Entity should have a clear policy on intervention in their investee companies	Complied	NA
5	Entity should have a clear policy for collaboration with other institutional investors, where required to preserve the interests of the policyholders (ultimate investors), which should be disclosed.	Complied	NA
6	Entity should have a clear policy on voting and disclosure of voting activity.	Complied	NA